Surface electron density models for accurate ab-initio molecular dynamics with electronic friction

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Ab-initio molecular dynamics with electronic friction (AIMDEF) is a valuable methodology to study the interaction of atomic particles with metal surfaces. This method, in which the effect of low energy electron-hole (*e-h*) pair excitations is treated within the local density friction approximation (LDFA) [Juaristi *et al.* Phys. Rev. Lett. **100**, 116102 (2008)], can provide an accurate description of both *e-h* pair and phonon excitations. In practice, its applicability becomes a complicated task in those situations of substantial surface atoms displacements because the LDFA requires the knowledge at each integration step of the bare surface electron density. In this work, we propose three different methods of calculating on-the-fly the electron density of the distorted surface and we discuss their suitability under typical surface distortions. The investigated methods are used in AIMDEF simulations for three illustrative adsorption cases, namely, dissociated H₂ on Pd(100), N on Ag(111), and N₂ on Fe(110). Our AIMDEF calculations performed with the three approaches highlight the importance of going beyond the frozen surface density to accurately describe the energy released into *e-h* pair excitations in case of large surface atom displacements.

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I. INTRODUCTION

Many are the theoretical studies confirming that the fundamental properties in most elementary gassurface processes are satisfactorily described by the Born-Oppenheimer approximation^{1,2}. Common to all these studies is the use of an accurate adiabatic potential energy surface (PES) calculated with density functional theory (DFT) that at least accounts for the degrees of freedom of the gas species involved in the event. Examples are the dissociative adsorption on different metal surfaces of H_2^{3-5} , N_2^{6-8} , O_2^{9-11} , H_2O^{12-14} , CO_2^{15} , CH_4^{16} , recombination processes occurring on covered surfaces¹⁷⁻²¹, H_2 diffraction²²⁻²⁴, and the scattering of thermal/hyperthermal atoms and molecules²⁵⁻³².

Still, the challenge in present thermal and hyperthermal gas-surface simulations is to provide a reliable description of the two main energy exchange channels that may affect the dynamics and reactivity of gas-phase species on solid surfaces, namely, phonon excitations and electron-hole (*e-h*) pair excitations. In the end, these are the mechanisms that dictate the thermalization rate and, hence, the mean traveled length of the nascent adsorbates. Even more generally, these mechanisms are expected to contribute actively in any gas-surface process that involves strong and long-lasting interactions. The promising femtosecond laser induced chemistry^{33–38} is another good example of it. There are various theoretical studies showing that phonons and, particularly, *e-h* pairs are the driving ingredients in many cases^{39–45}.

In the past, phonons or, more precisely, the effect of energy exchange with the lattice in gas-surface dynamics have been reasonably described by using thermostats coupled to an adiabatic PES that paradoxically neglects the individual surface atoms degrees of freedom^{8,18,31,32,46-51}. The latter can become a serious limitation when large energy exchange and long interaction times are at work, since the distortions created locally on the surface can continuously modify the PES. In this respect, ab-initio molecular dynamics (AIMD), which based on DFT and the Hellmann-Feynman theorem allows incorporating the surface atoms movement, is nowadays the state-of-the-art methodology to account for the aforementioned phonon excitations effects 52-58. The QM/Me model developed by Meyer et al.⁵⁹ has been recently proposed as an improvement over the usual AIMD method because it avoids the spurious periodic distortions that may appear in AIMD in case of using too small surface unit cells. Notably, there are also theoretical studies that include a quantum treatment of the phonon excitations⁶⁰, but in those cases the gas-surface interaction is described through simplified model poten $tials^{61,62}$.

While searching for an accurate and joint description of the electronic and phononic energy dissipation channels, the recently developed AIMD with electronic friction (AIMDEF) method⁶³ that is based on the local density friction approximation (LDFA)⁶⁴, constitutes a promising tool to meet this goal. However, the original AIMDEF of Ref. 63, which is based on the rigid surface electron density, may fail in cases of large surface atoms displacements that cause non-negligible changes in the surface electron density.

In this paper, we propose and analyze different meth-

ods to successfully overcome this limitation. Having these powerful AIMDEF approaches, we apply them to investigate a central issue in gas-surface interactions, that is, the adsorption and relaxation of hot gas species on metal surfaces. More precisely, we investigate three different adsorption scenarios that cover a representative range of adsorption energies E_{ads} and adsorbateto-surface mass ratios. Specifically, dissociated H_2 on Pd(100), N on Ag(111), and N₂ on Fe(110). These systems were recently analyzed in Refs. 65 and 66, using one of our proposed methodologies. Here, we extend and present a more detailed analysis on how phonons and e-h pair excitations depend on each other. We find that the electronic mechanism can be noticeably sensitive to the phononic one, in particular, in those cases where large surface atoms displacements are likely to occur. Nevertheless, we also find that the adsorption and relaxation processes themselves are not much affected by the details of these excitations, at least for the typical energies of few eVs that matter in practical gas-surface reactions. Therefore, our new results also confirm the robustness of the conclusions stated in Ref. 65, namely, (i) that the adsorption of light and heavy gas species are dominated by the electronic and the phononic excitations, respectively, and (ii) that independently of the gas species considered, the electronic mechanism is crucial during the final accommodation of the adsorbate on the adsorption well.

The outline of the article is as follows. Section II starts with the basics of the LDFA of Ref. 64 and continues with a detailed description of the surface electron density models we propose to use in AIMDEF simulations. The section ends by analyzing the performance of each density model under extreme reliable conditions of large surface atoms displacements as those occurring upon equilibration of the adsorbates on the adsorption wells. Their behaviour in representative AIMDEF simulations is discussed in Sec. III. In particular, we analyze how the adsorption probabilities and energy dissipation into e-h pair and phonon excitations depend on the surface density description for the above mentioned three adsorption scenarios. The summary and conclusions are given in Sec IV.

II. LOCAL DENSITY FRICTION APPROXIMATION FOR MOVING SURFACE ATOMS

Non-adiabatic effects that come from the energy exchange between the nuclear and electronic degrees of freedom can be effectively included in the nuclei classical equations of motion in terms of a friction force⁶⁷. The crucial point is to determine a realistic value of the friction coefficient $\eta(\mathbf{r}_i)$ acting on the gas-atom *i* at each point \mathbf{r}_i along its trajectory. In this respect, different theoretical studies have been performed during the last years treating this issue^{64,68,69}. The local density friction approximation (LDFA)⁶⁴ is one of the formalisms that, in spite of its simplicity, captures the relevant physical aspects of the low energy e-h pair excitations^{70–73} as those created by slowly moving gas species. This is one of the reasons of being widely applied to study the effect of electronic excitations in the dynamics of atoms and molecules on metal surfaces^{14,28,44,45,47,48,57,63–66,74–78}. More recently, the LDFA has been shown to accurately describe the electronic energy loss in the scattering of H from Au(111)⁷⁹. The LDFA assumes that $\eta(\mathbf{r}_i)$ is equal to the friction coefficient that the same atom i would have in case of being moving within a homogeneous free electron gas (FEG) of density $n_0 = n_{\rm sur}(\mathbf{r}_i)$, where $n_{\rm sur}(\mathbf{r}_i)$ is the electron density of the bare metal surface at the position \mathbf{r}_i .

The friction coefficient of a slowly-moving atom inside a FEG was derived, using quantum scattering theory, from the energy that loses per unit path length. Its expression in atomic units (a.u.) reads^{70,71,80},

$$\eta = \frac{4\pi n_0}{k_F} \sum_{l=0}^{\infty} (l+1) \sin^2[\delta_l(k_F) - \delta_{l+1}(k_F)] , \quad (1)$$

where k_F is the Fermi momentum and $\delta_l(k_F)$ are the scattering phase-shifts at the Fermi level that are calculated from the DFT scattering potential of an atom within the FEG. The latter turned out to be a crucial step to reproduce available experimental data on the stopping power of atoms and ions in metal solids and surfaces 70-73. For the case of a molecular projectile the original LDFA of Ref.64 calculates the friction coefficient on each atom in the molecule as if they were non-interacting atoms (independent atom approximation, IAA). The latter has been shown to be a reasonable approximation for the translational degrees of freedom⁸¹, but it may introduce errors when treating the coupling of the molecular vibrational movement with the metal electrons in situations of strong and long-time molecule-surface interactions. The extreme realization of the latter conditions is undoubtedly the electron-vibration coupling that affects the lifetime of the molecular stretching mode when adsorbed on metals 82,83 . In the recent study of Ref. 83, the authors use the Fermi golden rule formulation⁸⁴ and also analyze the anisotropies of the friction tensor in nonuniform systems that cannot be captured by the isotropic LDFA. We note, however, that a direct quantitative comparison between these results and those of the LDFA is not straightforward because in the calculation of the friction tensor only electronic transitions that conserved the crystal momentum were included (i.e. initial and final electronic wavevectors are equal, $\mathbf{k} = \mathbf{k}'$, whereas the calculation of the friction tensor for a single adsorbate requires the Fermi surface integration over transitions not conserving the crystal momentum.

The LDFA was first used in AIMDEF simulations to study the relaxation of the hot H atoms formed upon dissociation of H₂ on Pd(100)⁶³ and, more recently, to investigate quantum-size effects in the vibrational lifetime of H adsorbed on Pb films⁷⁶. In both cases, the bare surface electron density, which determines the friction coefficients $\eta(\mathbf{r}_i)$ within the LDFA, was approximated by the electron density of the bare frozen surface (FS) calculated self-consistently with DFT, which will be denoted as $n_{\text{sur}}^{\text{FS}}$ in the following. Although the use of $n_{\text{sur}}^{\text{FS}}$ is only justified in simulations where the surface atoms are fixed at the equilibrium positions, it is still a reasonable approximation in those cases where the surface atoms are barely moving^{63,76}. However, in most cases the surface atoms displacements are expected to cause appreciable changes in the surface electron density n_{sur} . Thus, the latter needs to be known at any instant t and it complicates the use of the LDFA in usual AIMDEF simulations because only the electron density of the whole system, i. e., gas species and surface atoms, is calculated self-consistently at each integration step.

Here, we introduce two methods that facilitate the applicability of the LDFA for moving surface atoms. In the first one, the surface electron density is calculated at each time step t as the superposition of the ground state electron densities of the isolated individual surface atoms n_i^{atom} , i.e.,

$$n_{\rm sur}^{\rm AS}(\mathbf{r}_i, t) = \sum_{j=1}^{N_{\rm sur}} n_j^{\rm atom}(\mathbf{r}_i, t), \qquad (2)$$

where the summation index j runs over all surface atoms N_{sur} . This method successfully accounts for the movement of the surface atoms at each time step, but it obviously misses the charge redistribution upon formation of bonds between the surface atoms.

The second method corrects this misbehavior by making use of the Hirshfeld partitioning scheme⁸⁵, which has been successfully applied to study the vibrational lifetimes of molecular adsorbates within the LDFA framework⁸². Here, we use it in order to subtract the contribution of the gas-phase atoms from the self-consistent density of the whole system $n^{\text{SCF}}(\mathbf{r}_i, t)$. More precisely, the bare surface electron density is approximated at each t by

$$n_{\rm sur}^{\rm H}(\mathbf{r}_i, t) = n^{\rm SCF}(\mathbf{r}_i, t) \left[1 - \sum_{n=1}^{N_{\rm A}} w_n(\mathbf{r}_i, t) \right],$$
$$w_n(\mathbf{r}_i, t) = \frac{n_n^{\rm atom}(\mathbf{r}_i, t)}{\sum_{m=1}^{N} n_m^{\rm atom}(\mathbf{r}_i, t)},$$
(3)

where the indexes m and n run, respectively, over the total numbers of atoms in the system N and in the adsorbate $N_{\rm A}$. In this equation, the Hirshfeld weighting factor $w_n(\mathbf{r}_i, t)$ represents the contribution of the *n*-th atom to the electron density of the whole system at \mathbf{r}_i . Thus, the factor $\left[1 - \sum_{n=1}^{N_{\rm A}} w_n(\mathbf{r}_i, t)\right]$ defines the weight corresponding to the system without the contribution of the adsorbate.

The described electron density methods $(n_{\text{sur}}^{\text{FS}}, n_{\text{sur}}^{\text{AS}}, and n_{\text{sur}}^{\text{H}})$ have been implemented in the *Vienna Ab-initio* Simulation Package (VASP)⁸⁶ to perform AIMDEF calculations^{63,65}.



FIG. 1. Electron density as a function of the distance from the surface z for a H atom adsorbed above the top, hollow and bridge sites of the Pd(100) surface. The $n_{\rm sur}$ (empty circles), $n_{\rm sur}^{\rm FS}$ (yellow triangles), $n_{\rm sur}^{\rm AS}$ (red-white diamonds), and $n_{\rm sur}^{\rm H}$ (blue-white squares) are plotted along the line normal to the surface that contains the H atom, whose position is indicated by the dashed line. For completeness, the density of the whole system $n^{\rm SCF}$ is shown by the green circles. Inset: 2×2 unit cell (blue box) with H at the top, hollow and bridge sites.

A. Performance of the proposed surface density models

We start by examining the adequacy of $n_{\rm sur}^{\rm FS}$, $n_{\rm sur}^{\rm AS}$, and $n_{\rm sur}^{\rm H}$ in describing the self-consistent bare surface electron density $n_{\rm sur}$ once the adsorbates are fully relaxed and accommodated on the surface. The latter constitutes one of the possible real extreme conditions under which the surface density can be significantly altered as a consequence of the charge redistribution between the adsorbate and the surface. In fact, this redistribution can even distort locally the surface lattice.

All DFT calculations presented in this work were done using the VASP package, which uses a plane wave basis set. The core-electron interaction was approximated by projector-augmented wave potentials⁸⁷. Following previous works^{48,49,63}, a different generalized gradient approximation (GGA) for the exchange and correlation functional was used in each of the studied systems, namely, the Perdew-Wang 1991 (PW91) functional⁸⁸ for H/Pd(100) and N/Ag(111) and the Revised Perdew-Burke-Ernzerhof functional (RPBE)⁸⁹ for $N_2/Fe(110)$. The surfaces were modelled by five-layer (2×2) periodic cells for H/Pd(100) and N/Ag(111) and by a four-layer (3×3) periodic cell for N₂/Fe(110). The Brillouin zone was sampled with $n \times n \times 1$ Monkhorst-Pack meshes⁹⁰. with n = 6 for H/Pd(100), n = 5 for N/Ag(111) and n = 3 for N₂/Fe(110). The energy cut-offs for plane wave basis sets were 350 eV for H/Pd(100), and 400 eV for the other systems.

In Fig. 1 we compare the spatial distributions of the three bare surface electron density models with n_{sur} for

TABLE I. Displacements of the adsorbate's nearest surface atom(s) neighbors d_{nn} obtained for each system and each equilibrium position of the adsorbate. Values of the surface electron density at the position of the adsorbate calculated self-consistently n_{sur} and with the three different surface density models (see text). For N₂ adsorbed on the top-vertical well, the densities at the position of the most distant N are written within parenthesis.

	H/Pd(100)				N/Ag(111)				$N_2/Fe(110)$		
	top	hw	\mathbf{br}	top	hcp	fcc	\mathbf{br}	top-ver	hw-par	br-par	
$d_{ m nn}({ m \AA})$	0.030	0.024	0.030	0.027	0.081	0.073	0.18	0.047	0.06	0.12	
$n_{\rm sur}~(e/{\rm \AA}^3)$	0.071	0.084	0.100	0.014	0.046	0.046	0.044	0.019(0.001)	0.054	0.056	
$n_{\rm sur}^{\rm FS}~(e/{\rm \AA}^3)$	0.064	0.082	0.095	0.015	0.055	0.056	0.066	0.017(0.001)	0.051	0.056	
$n_{\rm sur}^{\rm AS}~(e/{\rm \AA}^3)$	0.081	0.074	0.090	0.016	0.038	0.037	0.037	0.029(0.002)	0.040	0.045	
$n_{ m sur}^{ m H}~(e/{ m \AA}^3)$	0.099	0.078	0.110	0.016	0.036	0.035	0.034	0.031(0.002)	0.048	0.052	

the case of a H atom adsorbed at the top, hollow and bridge sites of the Pd(100) surface. In each case, $n_{\rm sur}$ is calculated using the surface atom positions of the relaxed adsorbate-surface structure. For completeness, the selfconsistent electron density of the whole system n^{SCF} is also shown. The curves display the one dimensional (1D) cut of the electron densities along the line normal to the surface that contains the adsorbate. The $n_{\rm sur}^{\rm FS}$ data practically coincide with $n_{\rm sur}$ due to the negligible displacements that the adsorbed H causes on the surrounding Pd (the displacements of the adsorbates nearest neighbors d_{nn} are shown in Table I). Obviously, dissimilarities appear in the region of large density gradients. The other two methods also reproduce well the values of $n_{\rm sur}$. The agreement is particularly good at the position of the adsorbate z_A (dashed vertical line), which is the value of interest for applying the LDFA. It is also worthy to remark the goodness of the Hirshfeld partitioning scheme in removing the contribution of the adsorbate from the electron density of the whole system n^{SCF} . This becomes apparent when noticing how different n^{SCF} is from n_{sur} , but how finely the latter is reproduced by $n_{\text{sur}}^{\text{H}}$. Devi-ations of $n_{\text{sur}}^{\text{H}}$ and $n_{\text{sur}}^{\text{AS}}$ from the correct n_{sur} are more apparent at distances $z < z_A$, i.e., in the region where the adsorbate-surface bond and the surface metal bonds are formed, especially for the top and bridge sites. On the one hand, $n_{\rm sur}^{\rm H}$ reproduces rather well the surface density for the bridge site (notably, around z = 0, where the metallic character of the bonds is manifest), but it overestimates it for the top case. On the other hand, n_{sur}^{AS} behaves well for the top site, but it underestimates n_{sur} around the topmost Pd layer (z = 0) in the bridge case. As argued below, these results are a consequence of the different charge redistribution occurring at each site.

The electron densities for N adsorbed at the top, hcp hollow, fcc hollow and bridge sites of the Ag(111) surface are compared in Fig. 2. As in the H/Pd(100) system, the three models are good in reproducing $n_{\rm sur}$ at the adsorbate position and above $(z \ge z_A)$. The $n_{\rm sur}^{\rm H}$ values are impressively good along the whole z-range, while both $n_{\rm sur}^{\rm FS}$ and $n_{\rm sur}^{\rm AS}$ deviate from $n_{\rm sur}$ in the region around the Ag topmost layer. In particular, $n_{\rm sur}^{\rm FS}$ and $n_{\rm sur}^{\rm AS}$ are sys-



FIG. 2. Same as Fig. 1 for N adsorbed above the top, hollow hcp, hollow fcc and bridge sites of Ag(111), as sketched in the insets. The (2×2) unit cell is indicated by a blue box in the insets.



FIG. 3. Same as Fig. 1 for N_2 adsorbed on the top, hollow, and bridge sites of the Fe(110) surface, as indicated by the insets in which the 3×3 unit cell is plotted with a blue box. Since the positions of the two N atoms are symmetrically equivalent for the bridge and hollow adsorption sites, only the densities along one N atom are plotted in these two cases.

tematically overestimating and underestimating, respectively, the surface density. The somewhat large errors obtained with $n_{\rm sur}^{\rm FS}$ when N is adsorbed on bridge are a consequence of the large displacements of about 0.18 Å that N is causing around it (see Table I for details on the other sites).

As depicted in the insets of Fig. 3, N₂ can adsorb on the Fe(110) surface with upright orientation on top of the Fe atoms and parallel to the surface with its center of mass over either the hollow or the bridge site^{49,65}. It is worthy to note that the latter adsorption configuration only appears on the relaxed Fe(110) surface⁶⁵. Figure 3 shows for the three N₂ adsorption sites that any of the proposed surface density models succeeds in reproducing $n_{\rm sur}$ at the position of each N atom conforming the molecule. Regarding the performance of the three models along z, the smallest to the largest errors are obtained by $n_{\rm sur}^{\rm FS}$, $n_{\rm sur}^{\rm H}$, and $n_{\rm sur}^{\rm AS}$, following this order. As noted previously, the errors are expectably larger in the regions where the density changes rapidly with z.

All in all, the analysis of the different cases studied in Figs. 1, 2, and 3 allows us to extract the following conclusions. The three surface density models provide a good description of $n_{\rm sur}$ at distances from the surface close or larger than the equilibrium adsorption heights. In the bonding region between the adsorbate and the surface, the possible errors introduced by $n_{\rm sur}^{\rm H}$ and $n_{\rm sur}^{\rm AS}$ are reasonably small, while the adequacy of $n_{\rm sur}^{\rm Fs}$ depends strongly on the size of the lattice distortions, particularly, in those areas of large density gradients. By construction, $n_{\rm sur}^{\rm H}$ is expected to overestimate (underestimate) the density whenever the adsorbate-surface interaction causes a negative (positive) induced density, i.e., a removal (piling up) of electrons, whereas $n_{\rm sur}^{\rm AS}$ underestimates the electronic density in the interstitial region where the metal character of the surface atoms bonding is manifested.

As additional stringent tests, we have also compared the performance of the proposed density models for surface lattice distortions we encounter in real AIMD simulations as those presented in the next section. Figure 4 shows the results for one trajectory that is characterized by large lattice distortions, with averaged displacements with respect to the equilibrium positions that vary between 0.2 Å and 0.4 Å in the topmost surface layer. This trajectory corresponds to the adsorption of a 0.1 eV incident N on Ag(111). The self-consistent bare surface electron density $n_{\rm sur}$ and the model densities $n_{\rm sur}^{\rm FS}$, $n_{\rm sur}^{\rm AS}$ and $n_{\rm sur}^{\rm H}$ are calculated using the surface atom positions at different instants along the trajectory. The bottom panel shows the values of the densities at the position where the N atom is located at each instant during the simulation. Clearly, both n_{sur}^{H} and n_{sur}^{AS} in this order are the best approximations to n_{sur} . In contrast, n_{sur}^{FS} while valid for small distortions, fails otherwise. The upper panels show a 1D cut of the surface densities along the same line used in Fig. 2 at two distinct instants. In both cases, $n_{\rm sur}^{\rm H}$ is the model density that gives an overall better description of $n_{\rm sur}$.



FIG. 4. Bottom panel: Surface electron densities as a function of time for one N atom impinging on the Ag(111) surface with 0.1 eV of initial kinetic energy at normal incidence. Upper panels: 1D cuts of the electron densities calculated as those of Fig. 2, but using the positions of N and the Ag atoms at two different instants along the trajectory. Lines description as in Fig. 1.

III. PERFORMANCE OF THE SURFACE DENSITY MODELS IN AIMDEF SIMULATIONS

In this section we study the performance of the three density models in a gas-surface dynamics problem, namely, the adsorption and relaxation of hot species on metal surfaces. Although we have shown that the differences in the densities are small, it is not clear that they will be manifested also as small differences in the dynamical magnitudes for, at least, three reasons: (i) the surface atom displacements vary in magnitude and are in persistent change along the trajectory, resulting in configurations where the different models can provide a fluctuactingly faithful description of the bare surface density (as shown in Fig. 4, where the density can be overestimated as well as underestimated); (ii) the friction coefficient η is not linearly dependent on n_{sur} ; and (iii) since the friction force is also proportional to the projectile velocity, the electron density alone gives incomplete information about the e-h pairs excitation. Therefore, a detailed *dynamical* analysis is revealed as a necessary complement to the static one.

In this respect, H/Pd(100), N/Ag(111), and $N_2/Fe(110)$ are well suited for the present analysis because they cover the limiting cases in which the energy exchange with the surface is dominated by either *e-h* pairs or phonons excitations⁶⁵. For each system, we will examine how the differences in the densities originated by each density model affect: (i) the adsorption probability (Sec. III A), (ii) the surface atom displacements (Sec. III B) and the friction coefficients experienced by the hot species (Sec. III C), which are the factors determining the energy dissipation mechanisms,

and, importantly, (iii) the kinetic energy loss of the hot species (Sec. III D), which is the central quantity of the problem.

The results that follow for each system are statistical averages obtained from a suitable number of AIMDEF trajectories, in which the two outer layers of the surface are allowed to move (unless otherwise stated). In the simulations, the Beeman predictor-corrector algorithm is used to integrate the classical equations of motion⁹¹, where 0.1, 0.5, and 0.7 fs are the time steps for H/Pd(100), N/Ag(111), and N₂/Fe(110), respectively. In the following, the three sets of AIMDEF simulations carried out using $n_{\rm sur}^{\rm FS}$, $n_{\rm sur}^{\rm AS}$, and $n_{\rm sur}^{\rm H}$ are correspondingly denoted as FSM, ASM, and HM. We note that the friction coefficient is in all cases neglected when the hot species move in very-low-density regions. Specifically, $\eta = 0$ for surface densities smaller than $7.46 \times 10^{-3} \ e/Å^3$ ($r_s > 6$ a.u.).

For each density model, 50 hot H atom trajectories are simulated on Pd(100) that result from the dissociation of 25 H₂ molecules on the surface, where they impinge at normal incidence with initial kinetic energy $E_i = 0.5 \text{ eV}$. As in previous works^{63,65}, the initial coordinates (x_i, y_i, z_i) and velocities of the individual H atoms are taken from adiabatic frozen surface molecular dynamics simulations on a six-dimensional PES⁹² that describes H₂ dissociation on Pd(100). When the H–H distance in those simulations reaches three times that of the H₂ equilibrium bond length in the gas-phase, we set the time t = 0 for the present AIMDEF simulations.

The AIMDEF simulations with N atoms and N₂ molecules account, instead, for the complete adsorption process at normal incidence, where the used E_i values ensure large adsorption probabilities. For N atoms on Ag(111), 20 trajectories are simulated for each density model with $E_i = 0.1 \text{ eV}$, $z_i = 4 \text{ Å}$, and random (x_i, y_i) values. The open-shell character of N requires the use of spin-polarized DFT. However, since the spin is quenched upon N–Ag interaction, computational effort can be saved by doing non-spin-polarized calculations when N lies close to the surface (see Appendix for a practical description of how this effect is considered in the AIMDEF simulations).

In the simulations of non-dissociative adsorption of N₂ on Fe(110), the molecules impinge normal to the surface with initial translational energy $E_i = 0.75 \text{ eV}$ and zero rotational and vibrational energies (i.e., the zero point energy is neglected). The initial coordinates of the N₂ center of mass are, as in the previous case, $z_i = 4 \text{ Å}$ and random (x_i, y_i) . For each density model, 80 trajectories are calculated.

A. Adsorption probabilities

Previous MD calculations performed on a precalculated (frozen surface) three-dimensional N/Ag(111) PES show that the initial adsorption probability for N impinging at off-normal incidence with $E_i = 0.1 \text{ eV}$ is $S_0 \simeq 0.98$ for an ideal surface temperature $T_s = 0 \text{ K}^{48}$. In those simulations, the effect of *e-h* pair excitations is described through the LDFA, while energy exchange with the surface lattice (phonon excitations) is included by means of the generalized Langevin oscillator (GLO) model^{46,93–95}. The same value was obtained in pure GLO calculations that only included phonons excitations, while the authors found a slightly smaller value $S_0 \simeq 0.87$ when only *e-h* pair excitations were considered.

In the present AIMDEF simulations, we obtain $S_0 = 1$ irrespective of the adopted surface density model (FSM, ASM, HM). For comparison purposes, we have performed two additional types of simulations: (i) AIMDEF calculations in which the surface atoms are fixed at their equilibrium positions (FS+EF) and (ii) usual AIMD calculations without the electronic friction force, in which the two outermost surface layers are allowed to move (NFS). Overall, our S_0 values are consistent with the previous MD results: on the one hand, NFS simulations yield $S_0 = 1$ and, on the other hand, FS+EF simulations yield a slightly lower $S_0 = 0.85$.

In the case of N₂/Fe(110), the global adsorption probability is $S_0 = 0.75$ and the site-specific adsorption probabilities are 0.31, 0.13, and 0.31 for top, hollow, and bridge configurations, respectively. Here, too, the latter values remain barely unchanged when using any of the proposed surface electron density models. Also in this system we have performed the two additional types of simulations described above. NFS simulations yield $S_0 = 0.71$, which is in good agreement with GLO simulations carried out with a six-dimensional N₂/Fe(110) PES for the same incidence conditions and low T_s^{49} . Interestingly, no adsorption event is observed with the FS+EF calculations that neglect energy exchange with the surface lattice.

In the following, we will focus on the adsorption process and restrict all the analysis to the results obtained from the adsorption trajectories exclusively.

B. Surface atoms displacements

The top and bottom panels of Fig. 5 show the mean displacements of the surface atoms within the first $\langle d_1 \rangle$ and second $\langle d_2 \rangle$ layers, respectively, as a function of time for each electron density model and for each system. The displacements are evaluated with respect to the equilibrium position for each trajectory and time step as,

$$d_l(t) = \frac{1}{N_l} \sum_{n=1}^{N_l} |\mathbf{r}_n(t) - \mathbf{r}_n(0)|, \qquad (4)$$

where l = 1, 2 indicates the topmost and second layers, respectively, \mathbf{r}_n are the surface atom positions, and the sum runs over the N_l atoms within the *l*-th layer of the unit cell. A common trend in the three systems is that $\langle d_1 \rangle > \langle d_2 \rangle$. This is a reasonable result, since the projectile exchanges energy and momentum directly with the



FIG. 5. Average displacements from their equilibrium position of the surface atoms in the first (upper panels) and second (bottom panels) layers as a function of integration time. Left, middle, and right panels correspond to H/Pd(100), N/Ag(111), and $N_2/Fe(110)$, respectively. Yellow, red, and blue lines are the results obtained with AIMDEF simulations, where the friction force is calculated with the FSM, ASM, and HM models, respectively.

top layer.

For H/Pd(100) and $N_2/Fe(110)$ the differences between the displacements calculated with the three surface density models are almost negligible. For N/Ag(111) small differences appear after 1.3 ps. It is only after this time that the displacements of the HM model are visually lower than the ones of the FSM and ASM models.

Comparing the three systems, the Ag(111) displacements are much larger and increase faster with time than those of Pd(100) and Fe(110), which reach a plateau at earlier times. Notice that the values for Fe arrive close to their maximum less than 1 ps after the moleculesurface collision, while Ag movements continue to increase in amplitude even 3 ps after the collision. Interestingly, this different behaviour is not correlated with the different projectile-to-surface atom mass ratios γ we have for H/Pd(100) (γ =0.0095), N/Ag(111) (γ =0.13), and $N_2/Fe(110)$ ($\gamma=0.5$). Within simple binary collision models^{96,97}, this parameter relates (albeit not exclusively) to the projectile-to-surface momentum transfer in the successive collisions with the metal atoms. The low γ value of H/Pd(100) is in line with the small Pd displacements, but this argument alone cannot explain the N/Ag(111) and N₂/Fe(110) results of Fig. 5. These results are neither explained by the E_i value, which is smaller for N than for N_2 . Instead, Fig. 5 is to be understood by considering the different PES topographies of these systems. The $N_2/Fe(110)$ system has an entrance energy barrier above the surface, which diminishes the kinetic energy of the impinging molecules⁴⁹, whereas the N/Ag(111) PES is barrierless and strongly attractive at long range, which accelerates the N atoms 30 .



FIG. 6. Average friction coefficient $\langle \eta \rangle$ as a function of time for H/Pd(100) and N/Ag(111) (upper panels), and for N₂ adsorbed at top, hollow, and bridge sites of Fe(110) (lower panels). Lines description as in Fig. 5.

C. Friction coefficients

In this subsection, we focus in the friction coefficients η experienced by the hot atoms during the simulations. Figure 6 compares their statistical averages $\langle \eta \rangle$ as a function of time for the three systems and for the different surface electron density models. In the case of N₂/Fe(110), we find meaningful to show the results for each of the adsorption configurations because the probed surface regions and hence the friction coefficients experienced by the molecules depend strongly on whether N₂ is adsorbed on the top-vertical or on the bridge- and hollow-parallel wells.

In accordance with the small surface atoms displacements occurring in H/Pd(100), the $\langle \eta \rangle$ values in the three density models are very similar. However, for N and N₂ adsorbed on any of the parallel configurations, Fig. 6 shows that FSM yields an overall overestimation of $\langle \eta \rangle$. This behaviour becomes very clear at times $t > 800 \,\mathrm{fs}$ in N/Ag(111) and t > 500 fs in N₂/Fe(110), namely when the energy lost into the phonons channel starts to saturate⁶⁵. Comparing the time-averaged values of the friction coefficients $\langle \langle \eta \rangle \rangle_t$ computed for t > 300 fs, the relative differences between the FSM and HM simulations, calculated as $\Delta = (\langle \langle \eta \rangle \rangle_t^{\rm FSM} - \langle \langle \eta \rangle \rangle_t^{\rm HM}) / \langle \langle \eta \rangle \rangle_t^{\rm HM}$ amounts to 25% for N/Ag(111) and 16-20% for parallel N_2 on Fe(110). The FSM overestimation is a consequence of using the undistorted bare surface density $n_{\rm sur}^{\rm FS}$ for moving surface atoms in cases in which the adsorption dynamics is dominated by on-surface (z < 2 Å)movements. Along those trajectories, the large density regions existing within very short distances of the surface atoms are accordingly very repulsive and, hence, inaccessible for the typical hot species energies. However, if the surface atoms move from their equilibrium positions, the hot species may access those, otherwise, forbidden

regions, where the $n_{\rm sur}^{\rm FS}$ values are large because they correspond to the undistorted surface. Since the electron density gradient increases rapidly as the distance to the surface atomic cores decreases, it is understandable that the time spent by the hot atoms nearby these regions, though short, will have more weight in the statistical average and thus result in the overall $\langle \eta \rangle$ overestimation observed in the FSM curves of Fig. 6. On the contrary, with the HM and ASM models, the probed densities, and consequently the friction coefficients that enter the average, are always similar to the actual distorted surface density values, as shown in Fig. 4.

The performance of the FSM model for N_2 adsorbed on the top-vertical configuration is completely different. Figure 6 shows that FSM largely underestimates $\langle \eta \rangle$ as compared to ASM and HM. The discrepancies start at t > 250 fs, while the relative difference in the timeaveraged $\langle \eta \rangle$ between the FSM and HM simulations is around -37%. In this case, the molecule is mainly moving along the surface normal at 2–3 Å above the surface in a concerted N_2 -Fe motion that brings the Fe atom inwards and also outwards the topmost layer. In contrast to the parallel- N_2 adsorption dynamics, the large density-gradients along the surface normal appear in the low-density regions of the undistorted surface that are probed by the top-vertical N_2 during the outwards motion. Therefore, the same large-density-gradient argument explains that during the concerted N₂-Fe movement $n_{\rm sur}^{\rm FS}$ is now predominantly underestimating the density.

When FSM is used, a modulation in $\langle \eta \rangle$ is clearly visible for N/Ag(111) and N₂/Fe(110), which consists in large-amplitude low-frequency oscillations with periods ~ 0.8 and ~ 0.3 ps, respectively. The trajectories that enter the statistical averages are not correlated and thus this modulation is to be interpreted as a mere statistical artifact. As a matter of fact, it is observed that the projectiles impact on different positions within the surface unit cell and that the paths followed by the hot species on the surface are very different. Nonetheless, there are cases in which an overlying low-frequency modulation that we tend to ascribe to the surface atoms movement seems to be also present and could explain the modulation in the FSM $\langle \eta \rangle$. A considerably much larger statistics would be needed to confirm that surface phonons and not statistical errors are at the origin of these FSM oscillations. However, this point is completely out of the scope of the present study.

D. Energy loss

In the previous subsections we have demonstrated that the use of different models to evaluate the bare surface electron density during AIMDEF simulations of hot species on surfaces results in substantially different mean friction coefficients and, in some cases, also lattice distortions. These quantities determine the energy loss rate of the hot species, which after all, is the key quantity in the modeling of reactive processes on surfaces. The hot species kinetic energy is directly linked to several experimentally observable magnitudes, such as the maximum distance traveled on the surface, the relaxation process time-scale, and the amount of energy transferred to the substrate. Last but not least, there is the question of how this energy is partitioned into e-h pair and phonon excitation contributions, and to what extent the accuracy in the description of the bare surface charge density influences the partitioning.



FIG. 7. Energy loss due to e-h pairs excitation (upper panel) and average kinetic energy (lower panel) of the projectile as a function of time for H/Pd(100). The yellow, red and blue lines correspond to the results obtained with FSM, ASM and HM model, respectively. The additional green and grey lines represent the NFS and FS+EF simulation results.

Since the friction force is also velocity-dependent, the variation of the relaxation rate with the density model cannot be predicted. Figures 7, 8 and 9 show, for the three systems and for the different density models, the kinetic energy of the hot species averaged over the adsorbed trajectories as a function of time, denoted $\langle E_{\rm kin}^A \rangle$ for the atom and $\langle E_{\rm kin}^M \rangle$ for the molecule in the following. Importantly, the general observation is that this quantity is not sensitive to adopting FSM, HM or ASM to describe the electron densities (there may be subtle differences that are, nonetheless, of similar magnitude as the oscillations in the curves). Considering that for N/Ag(111)and N₂/Fe(110) the $\langle \eta \rangle$ values obtained with FSM deviate from those obtained with ASM and HM (see Fig. 6), it is unexpected to find hardly any difference between the corresponding $\langle E_{\rm kin}^{A,M} \rangle$ curves (see Figs. 8 and 9). This is indeed a remarkable observation, since it stresses that uncertainties in the friction coefficients do not necessarily translate into the final dynamics and the measurable magnitudes of interest.

The noise in the $\langle E_{\rm kin}^{A,M} \rangle$ curves originates from the



FIG. 8. Same quantities and color code as described in Fig. 7 for N/Ag(111).

PES corrugation. In the trajectories that enter in the averages, the hot species follow disparate routes on the surface, sampling thus PES regions of very different energies. Contrary to the rapidly oscillating behaviour of $\langle E_{\rm kin}^{A,M} \rangle$, the average energy dissipated into *e*-*h* pair excitations $\langle E_{\rm eh}(t) \rangle$ shows a smooth time dependence (see upper panels of Figs. 7, 8, and 9). Therefore, the observation of $E_{\rm eh}(t)$ allows a sound comparison of the performance of the three density models on the relaxation rates. For each individual trajectory, this energy is evaluated as

$$E_{\rm eh}(t) = \sum_{n=1}^{N_A} \int_0^t dt' \eta(\mathbf{r}_n(t')) |\mathbf{v}_n(t')|^2,$$
(5)

where the summation runs over the atoms that constitute the hot species, and where $\mathbf{v}_n(t')$ is the atom instantaneous velocity. At the end of the simulation time, when the H atoms are close to being thermalised on Pd(100), they have lost $0.53 \,\mathrm{eV}$ into e-h pair excitations and the energy differences between models are minimal, below $0.01 \,\mathrm{eV}$. For N₂/Fe(110), where we separately consider the energy loss for each adsorption site (see Fig. 9 upper panels), the differences between FSM and the other two models are more noticeable, and they are also in line with the underestimation or overestimation behaviors expected from the $\langle \eta \rangle$ values. Moreover, it must be taken into account that the molecule is far from being relaxed, and thus we can anticipate that the incipient deviations observed in Fig. 9 top panels will grow at longer times. This effect is manifested in N/Ag(111) too, where a very clear monotonously increasing deviation of FSM with respect to HM exists at the end of the simulation time. Here, the amount of energy used to excite e-h pairs is $0.499 \,\mathrm{eV}$ with HM, and $0.054 \,(0.018) \,\mathrm{eV}$ more (less) than

that with FSM (ASM). Again, the larger $\langle E_{\rm eh} \rangle$ values provided by FSM in the latter system are consistent with the $\langle \eta \rangle$ overestimation shown in Fig. 6.

The general conclusion we extract from the behaviors of $\langle E_{\rm kin}^{A,M} \rangle$ and $\langle E_{\rm eh} \rangle$ is that the calculated energy loss rates and the relaxation times are, for practical purposes, density-model-independent. In other words, the three models under scrutiny are able to provide similar descriptions of the hot species adsorption dynamics of diversely behaving systems. Nevertheless, among the studied models, HM is the one that provides the best description of the bare surface electron density, and therefore its use should be recommended in simulations when there is no a priori knowledge of the dependence of the energy loss on the friction coefficient values and on the surface atoms motion. HM overcomes the limitations of FSM and ASM to describe, respectively, the instantaneous density when the surface atoms are free to move and the bonds between surface atoms.

For completeness, additional curves are shown in Figs. 7, 8, and 9 that correspond to the two types of calculations described in Sec. III A, namely, NFS simulations without electronic friction and FS+EF simulations with electronic friction but without surface atoms movement. Their comparison to the AIMDEF results provide a reference picture of the e-h pairs excitation importance during adsorbate relaxation in these systems. The conclusion obtained is that e-h pairs excitation is the dominant dissipation channel for the hot H atoms, while phonons dominate N relaxation. Actually, as mentioned earlier, no N₂ adsorption is found when only the electronic energy dissipation channel is considered for N₂/Fe(110). A detailed explanation of these results can be found in Refs. 65 and 66.

One remaining issue when accounting for the energy dissipation mechanisms will be to incorporate the e-hpair excitations created by the kinetically excited surface atoms. This effect can easily be incorporated with our present AIMDEF method. However, for the systems and incidence conditions considered here, we find that the latter can be neglected within the time scale of the considered adsorption processes. We have made some estimations for the case of N/Ag(111), which is the system where the substrate atoms acquire the largest kinetic energies upon interaction with the impinging atom. The electron density for a surface Ag atom can be estimated by taking the electron density at a surface vacancy position. The corresponding mean electron radius would be $r_s \sim 5$ a.u., which results in a friction coefficient $\eta = 0.044$ a.u. for Ag. Using this value to estimate the energy relaxation rate for an ideal damped oscillator, we obtain $\eta/m(Ag) = 0.009 \text{ ps}^{-1}$. Interestingly, taking the value of the electron-phonon coupling factor for Ag at 300 K $[2.5 \times 10^{16} \text{ W/(m^3 K)}]^{-98}$ and dividing it by the specific heat of silver at the same temperature $[2.52 \times 10^6 \text{ J/(m^3 K)}]$, one obtains a similar relaxation rate of 0.010 ps^{-1} . Both estimations show that the rate at which the mobile Ag atoms dissipate energy to the

hollow bridge top 0.3 $\langle E_{
m eh}
angle \left[{
m eV}
ight]$ 0.0 $N_2/Fe(110)$ 0.7 0.6 $\langle E_{
m kin}^{
m M}
angle \, [{
m eV}]$ 0.5 0.4 0.3 0.2 0.1 0.0^L 0 2 3 4 3 4 0 2 3 1 1 2 1 Integration time [ps]

FIG. 9. Same quantities and color code as described in Fig. 7 for $N_2/Fe(110)$ specific for each adsorption configuration.

electronic system is two orders of magnitude slower than the time scales of the hot atom relaxation processes studied in the present work.

IV. CONCLUSIONS

We have examined the performance of different models of the bare surface electron density $n_{\rm sur}$ in AIMDEF simulations of the adsorption dynamics of atoms and molecules on metals, using H, N, and N_2 on Pd(100), Ag(111), and Fe(110), respectively, as case studies. In the original formulation of AIMDEF the surface electron density $n_{\rm sur}$, which is used to calculate the electronic friction force acting on the adsorbing species, was approximated by that of the frozen surface n_{sur}^{FS} (FSM model). Here, we improve the methodology by using models that account for the $n_{\rm sur}$ changes brought by the displacements of the surface atoms during the simulations, which can reach considerably large values of up to ~ 0.4 Å in some of the studied surfaces. The proposed $n_{\rm sur}$ models are constructed on-the-fly at each simulation time step from either superposition of atomic electron densities (ASM model) or a Hirshfeld partitioning scheme (HM model) of the total self-consistent density.

From *static* analyses for a few fixed geometries, we deduce that all the models accurately reproduce n_{sur} at the hot atom positions, as required by the simulations, and also that they provide good estimates at other positions. In a subsequent *dynamical* analysis, we find that the three of them yield similar energy loss rates, despite the limitations of FSM to model the distorted surface density as compared to ASM or HM. An in-depth exam-

ination of the trajectories reveals that FSM can produce significant deviations in the friction coefficients that depend closely on the surface density regions visited by the adsorbates.

Although the results presented in this work apply to a particular class of dynamical processes, they allow us to establish some guidelines for the applicability of each model in a broader context. First, we have shown that, when the dynamics involves large displacements of the surface atoms, $n_{\rm sur}^{\rm FS}$ clearly deviates from the average electron densities experienced by the hot species. Therefore, when modeling surface processes of similar characteristics, such as temperature effects in gas-surface interactions, ASM and HM will prove more reliable. Secondly, if the electronic structure of the surface under study is sensitive to changes in the interatomic distances, then HM is to be preferred over ASM, because it accounts more realistically for the charge distribution at the crystal bonds. This is particularly relevant in the description, for example, of surface penetration dynamics, where the projectile travels across both surface and bulk environments, of distinct electronic structure. Such penetration processes are more likely to occur, for instance, for faster impinging atoms. In practical terms, the evaluation of the Hirshfeld partitioning of charge in HM simulations does not imply a major computational cost increase with respect to the other methods. For all the reasons stated here, we can conclude that the use of a surface electron density model based in a Hirshfeld partitioning scheme can be considered a highly accurate and efficient strategy to describe e-h pair excitations in AIMDEF simulations. Finally, note that our new AIMDEF methodology is also well-suited to incorporate, when necessary, the effect of



FIG. 10. Spin magnetic moment of the N atom as a function of the distance from the Ag(111) surface z. The vertical red lines represent the AIMD zone boundaries (see text), while the horizontal blue lines represent the magnetic moment of the gas-phase (3 μ_B) and adsorbed (0 μ_B) N atom. Inset: Schematic representation of the three zones used in our AIMD(EF) simulations to properly account for the changing magnetic moment of N above Ag(111).

the e-h pair excitations created by the moving surface atoms.

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Appendix: Molecular dynamics zones

In usual AIMD (also AIMDEF) simulations, the converged wave functions at each integration step t_0 are used to extrapolate the wave functions at the next integration step. This scheme, which certainly facilitates the AIMD calculation, might be problematic when dealing with open-shell atoms or molecules for which the spin state changes with their distance to the surface, more specifically, when it changes from zero to a finite value because the wave functions used in the extrapolation are spin-degenerated. Such is the case of N incident on Ag(111). Figure 10 shows the spin magnetization of the system as a function of the distance from the surface z, for N located above a Ag surface atom. It is zero close to the surface and increases rapidly to the gasphase value of 3 μ_B far from the surface. Similar variations are obtained for N located at other positions over the Ag(111) surface. The main numerical difficulty consists in converging to the correct non-zero spin-polarized ground state as the N-Ag(111) distance grows. Thus, in order to break the spin-degeneracy of the wave functions during the AIMDEF simulations, we define the following three different zones within the supercell (see inset of Fig. 10) and adopt a different strategy within each of them,

- zone 1 (z < 1.2 Å), where the N spin is completely quenched. Therefore, as soon as N enters and stays in this zone a standard non-spin polarized AIMD calculation is performed.
- zone 2 (1.2 < z < 2.6 Å),where the spin-In this polarization varies rapidly with z. zone, the mentioned numerical problems in breaking the spin-degeneracy may appear when the N atom enters this zone from the surface (zone 1) with zero spin magnetic moment. In this case, the simulation is stopped at each integration step once the electronic wave functions and the forces on the atoms are converged. For the next integration step, a new calculation is launched from scratch, but using an initial magnetic moment of 3 μ_B for N. There is no need to stop the simulation when N comes from the region z > 2.6 Å.
- zone 3 (z > 2.6 Å), where the spin magnetic moment is that of the gas-phase N. In this zone, a standard spin-polarized AIMD calculation is performed.

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