Plasmon-Assisted Nd\textsuperscript{3+}-Based Solid-State Nanolaser

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Supporting Information

ABSTRACT: Solid-state lasers constitute essential tools in a variety of scientific and technological areas, being available in many different designs. However, although nanolasing has been successfully achieved for dyes and semiconductor gain media associated with plasmonic structures, the operation of solid-state lasers beyond the diffraction limit has not been reported yet. Here, we demonstrate room temperature laser action with subwavelength confinement in a Nd\textsuperscript{3+}-based solid-state laser by means of the localized surface plasmon resonances supported by chains of metallic nanoparticles. We show a 50% reduction of the pump power at threshold and a remarkable 15-fold improvement of the slope efficiency with respect to the bulk laser operation. The results can be extended to the large diversity of solid-state lasers with the subsequent impact on their applications.

KEYWORDS: Nd\textsuperscript{3+}, plasmonic nanolaser, solid-state laser, chains of Ag nanoparticles

Since the first experimental demonstration of the ruby laser in 1960,\textsuperscript{1} the development of solid-state lasers (SSLs) has led to an enormous variety of systems capable of generating laser action with characteristics relevant for applications in research, industry, medicine, or optical communications.\textsuperscript{2} Their versatile performance includes the possibility of operating in continuous-wave (cw) or ultrashort pulsed regimes, as well as single line or tunable sources,\textsuperscript{3} being accessible in very different configurations comprising from large devices delivering high power to fibers or compact microchip lasers for integrated optics.\textsuperscript{4,5} In this class of lasers, the laser transition usually takes place between localized crystal-field levels of rare-earth or transition-metal ions incorporated as optically active impurities in insulating crystals, glasses or ceramics.\textsuperscript{6} Additionally, an external optical resonator containing the gain medium is generally employed to provide the optical feedback. Among the different optically active ions, trivalent neodymium (Nd\textsuperscript{3+}) is by far the most widely employed laser ion due to its excellent properties. Namely, it shows a four-level operation in pulsed or cw mode, presents a metastable laser level of high quantum efficiency and has numerous absorption bands throughout the visible and the near-infrared (NIR) spectral regions to ensure efficient pumping.\textsuperscript{7}

In the last years, nanoscale laser action with subdiffraction confinement has been reported for a certain number of configurations combining different gain media (mainly organic dye molecules or semiconductors) with plasmonic nanostructures.\textsuperscript{8,9} However, although plentiful devices allow shaping the spatial, temporal, and spectral profile of SSLs, laser operation from these systems at the nanoscale remains a challenge. Here, we report on the first demonstration of a SSL operating at the subwavelength scale. The system operates at room temperature with a laser emission wavelength close to 1 \textmu m. As gain medium, we have used a Nd\textsuperscript{3+}-doped periodically poled LiNbO\textsubscript{3} (Nd\textsuperscript{3+}:PPLN) laser crystal,\textsuperscript{10} on which long chains of closely spaced silver interacting nanoparticles (NPs) have been deposited (Figure 1a). These chains were assembled on the ferroelectric domain boundary surfaces of the PPLN laser crystal following a simple and low-cost photochemical procedure.\textsuperscript{20} The chain length was around 2 mm. The average size of the silver NPs was about 50 nm and the average interparticle distance close to 2 nm (See Supporting Information and Figure 1b). The metallic nanostructures support broad radiative plasmonic modes that extend from the visible down to the NIR matching the relevant optical transitions of Nd\textsuperscript{3+} ions.\textsuperscript{21} Indeed, the possibility to boost the Nd\textsuperscript{3+} photoluminescence in this class of system has been recently reported.\textsuperscript{21,22}

To evaluate the effect of the Ag NP chains on the laser performance of our system, we carried out spatially resolved

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scanning confocal laser gain microscopy experiments (Figure 1a). We pump the device along the optical axis of the crystal by means of a cw Ti:sapphire laser tuned at 808 nm, where the maximum of the $^4I_{9/2} \rightarrow ^4F_{5/2}$ absorption of Nd$^{3+}$ ions in LiNbO$_3$ takes place. After a fast nonradiative decay to the $^4F_{3/2}$ upper metastable state, radiative transitions to the lower lying states take place. Out of these transitions, free running laser oscillation occurs in a four level scheme at the $^4F_{3/2} \rightarrow ^4I_{11/2}$ ($\sim 1 \mu$m) transition (Figure 1c).

For a pump beam polarized parallel to the Ag NP chains (with powers in the 125–300 mW range), full laser oscillation occurs only at the immediate surroundings of the metallic nanostructures. The stimulated emission takes place for the individual $^4F_{3/2}(R_1) \rightarrow ^4I_{11/2}(Y_3)$ Stark transition at $\lambda_e = 1093$ nm (green curve in Figure 2a), the spectral linewidth being 0.6 cm$^{-1}$, which corresponds to the limit of our experimental setup. A two-dimensional spatial map of the integrated laser intensity when the pump radiation (fixed at a power of 230 mW) is scanned on a macroscopic area containing several chains. (c) Detailed view of an optical micrograph of the crystal surface around the Ag NP chains. Scale bar corresponds to 5 $\mu$m. Laser oscillation takes place in the vicinity of the chains, whereas it is absent in the remaining areas.

Figure 1. (a) Schematics of the optically pumped Nd$^{3+}$ based solid-state nanolaser. A Nd$^{3+}$-PPLN solid-state gain medium with periodical arrays of Ag NP chains deposited on its surface is placed between two plane-parallel mirrors (Fabry–Pérot resonator). The system is optically pumped at $\lambda_p = 808$ nm with a 20× microscope objective, and the generated laser radiation at $\lambda_e = 1093$ nm is collected by the same objective in backscattering geometry. (b) SEM image showing a portion of a chain of Ag NPs deposited onto the domain wall surface of the crystal. Scale bar corresponds to 200 nm. (c) Energy level diagram of Nd$^{3+}$ ion displaying the pump and laser transitions and the crystal field splitting of the relevant $^4F_{3/2}$ and the $^4I_{11/2}$ states. The Stark transition for which laser action is obtained in this work ($R_1 \rightarrow Y_3$) has been highlighted by a thick arrow.

Figure 2. (a) Spontaneous and stimulated emission spectra corresponding to the $^4F_{3/2} \rightarrow ^4I_{11/2}$ transition of Nd$^{3+}$ in LiNbO$_3$. The spontaneous emission spectrum shows a clear structure due to the Stark splitting of the involved states by the effect of the crystal field (blue line). The stimulated emission spectrum corresponds to the individual $^4F_{3/2}(R_1) \rightarrow ^4I_{11/2}(Y_3)$ Stark transition at $\lambda_e = 1093$ nm (green line). (b) Spatially resolved confocal laser gain image obtained by integrating the laser intensity when the pump radiation (fixed at a power of 230 mW) is scanned on a macroscopic area containing several chains. (c) Detailed view of an optical micrograph of the crystal surface around the Ag NP chains. Scale bar corresponds to 5 $\mu$m. Laser oscillation takes place in the vicinity of the chains, whereas it is absent in the remaining areas.

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As it generally occurs in SSLs, due to the high reflectance of the mirrors forming the Fabry–Pérot resonator, the evolution from spontaneous emission to laser action cannot be monitored. Accordingly, Figure 3b shows the input–output gain curves obtained from the laser light emitted in the vicinity of the metallic nanostructures for two polarization states of the pump beam, parallel and perpendicular to the chains (Figure 3a). As expected, they display the pronounced threshold behavior characteristic of SSLs. Depending on the polarization of the pump beam, we observe two different threshold values, the lowest being obtained when the pump beam is polarized parallel to the NP chains. The laser slope efficiency is similar for both pump polarizations, parallel and perpendicular. According to the $C_3$ symmetry site of Nd$^{3+}$ ions in LiNbO$_3$ and to the forced electric-dipole character of the Stark transitions in this system, the intensity of the optical transitions of Nd$^{3+}$ ions does not vary when rotating the polarization around the $c$ optical axis of the crystal. The significant threshold reduction when pumping parallel to the chains thus can be accounted for in terms of the nanophotonic properties of the metallic NP chains as we will be discussed below.

On the other hand, the laser preferentially oscillates perpendicularly to the domain walls on which the metallic NPs are deposited. This also occurs in the absence of NPs and relates to the effect of light diffraction at the ferroelectric domain boundaries, which affects more intensely the light polarized parallel to the boundaries. As a result, the cavity losses increase preventing laser oscillation with this polarization. Note that although laser action occurs at the nanoscale, the emitted radiation travels in the resonator through the whole thickness of the medium.

The observed plasmon-mediated lasing process at the nanoscale can be well compared with the conventional bulk laser operation obtained in the same Nd$^{3+}$:LiNbO$_3$ gain medium in the absence of metallic NP chains and under the same experimental configuration. In this case, the laser exhibits a pump power at a threshold two times larger than that observed for lasing around the plasmonic chains (parallel pump beam). We also observe a remarkable deterioration of the laser slope efficiency (by a factor of 15) as a result of the bulk photorefractive effect in LiNbO$_3$. The significant threshold reduction when pumping parallel to the chains is enabled by both the cross section of the system and the significant field...
confined close to the surface of the considered crystal. The latter effect allows overcoming the well-known nonlinear instabilities that characterize the bulk configuration of this class of solid-state SSLs (namely, the photorefractive damage) and observe stable laser action at the power levels reported in this work.

To obtain further physical insight into the experimental observations, we carried out full-wave simulations of the near-field response of the metallic NP chains deposited on top of a Nd3+:PPLN laser crystal (see Supporting Information). Figure 3c displays cross sections of the electric-field distribution at the NP midplane computed at the pump wavelength $\lambda_p = 808$ nm, as obtained for an incident plane wave polarized along the direction of the metallic NP chain (top) and perpendicularly to it (bottom). Similar mode profiles were obtained at the laser emission wavelength $\lambda_e = 1093$ nm (not shown). For incident polarization parallel to the chain, the optical pump excites a plasmonic resonance characterized by a large electric-field enhancement (of about a factor 100) in the air gap in-between the NPs.20 This enhancement is significantly reduced (to a factor of approximately 5) when the system is illuminated by a pump perpendicularly polarized with respect to the chain. To reveal the effect of the plasmonic local fields on the lasing action, we develop a simple semianalytical model.27,28 Within this approach, the lasing threshold ($R_{th}^p$) and slope efficiency ($G_s$), derived from the dependence of the photon number on the external pump rate, can be written as $R_{th}^p = \frac{V_e}{(Q \Gamma \zeta)}$ and $G_s = \frac{Q}{\omega_e \cdot V_e}$, whereas the parameter $\zeta$ includes the details of the laser transition (see Supporting Information). The photonic temporal and spatial confinement properties of the system at the lasing frequency are accounted for by the corresponding quality factor ($Q_e$) and energy confinement factor ($\Gamma$), respectively. Taking into account that the laser photons generated by both polarizations of the pump are stored in the same lasing mode (the plasmonic mode that starts lasing first), the laser slope efficiency is independent of the polarization of the pump field, and governed by the product $\Gamma Q_e$ (Figure 3d). This result agrees well with the fact that similar slope efficiencies are obtained experimentally for the two polarizations of the pump beam (Figure 3b). On the other hand, from our numerical calculations, we obtained quality factors of $Q_e \approx 4$ and $Q_e \approx 1$ for the modes excited at $\lambda_e = 808$ nm with parallel and perpendicular pump polarizations, respectively. Thus, the larger photon lifetime $\tau_e$ associated with the plasmonic mode excited at $\lambda_e$ by the parallel polarization (note that $\tau_e = \frac{Q \lambda_e}{2 \pi c}$) leads to a reduction of the corresponding value of $R_{th}^p$ with respect to the perpendicular polarization. From this argument it follows that the parallel polarization is expected to feature a lasing threshold approximately a factor of 4 smaller than that corresponding to the perpendicular polarization (Figure 3d). We believe that the discrepancy between the experimental and theoretical results can be ascribed to the larger scattering losses (which leads to a smaller value of $Q_e$) present in the experimental system, mainly due to disorder and dispersion in size of the NPs. Overall, the tendencies and values derived from our theoretical analysis support the mechanism of plasmonic light-trapping as the mediator of the nanoscale lasing action in our system.

In summary, we have demonstrated room temperature laser operation of a Nd3+-based SSL at the nanoscale by exploiting the capability of LSPs from linear chains of metallic NPs. Our results unambiguously show that laser action occurs in a nanometric region of the system in which the pump power at threshold features a dramatic reduction compared to the conventional bulk laser operation in the same system. These findings open up the fascinating possibility of extending the approach reported here to the extremely vast list of solid state gain media, constituted by hundreds of host–ion combinations. This novel class of plasmonic lasers could cover a broad spectral range from the ultraviolet to the mid-infrared, with the inherent advantages of compactness, chemical and thermal frequency stability distinctive of SSLs, and the subsequent significant impact for the development of a wide variety of devices and applications.

**ASSOCIATED CONTENT**

**Supporting Information**

Experimental section; details on the numerical calculations; analysis on the extent of improvement of the laser threshold for the plasmon-assisted geometry; calculated scattering cross section of chains with different number of NPs. (PDF)

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**Author Contributions**

P.M., E.Y., M.O.R., and L.E.B. were in charge of the experimental results. C.T., J.A., and J.B.-A. worked on the theoretical analysis. J.L.P. performed the crystal growth. P.M., E.Y, M.O.R., and L.E.B. were in charge of the project and supervised the work. All authors contributed to the scientific discussion.

**Notes**

The authors declare no competing financial interest.

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