

# Dielectric properties of thin insulating layers measured by Electrostatic Force Microscopy

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**Abstract.** In order to measure the dielectric permittivity of thin insulating layers, we developed a method based on electrostatic force microscopy (EFM) experiments coupled with numerical simulations. This method allows to characterize the dielectric properties of materials without any restrictions of film thickness, tip radius and tip-sample distance. The EFM experiments consist in the detection of the electric force gradient by means of a double pass method. The numerical simulations, based on the equivalent charge method (ECM), model the electric force gradient between an EFM tip and a sample, and thus, determine from the EFM experiments the relative dielectric permittivity by an inverse approach. This method was validated on a thin SiO<sub>2</sub> sample and was used to characterize the dielectric permittivity of ultrathin poly(vinyl acetate) and polystyrene films at two temperatures.

## 1 Introduction

Physical study of complex materials as nano-structured materials and self-assembly polymers requires the development of methods to characterize their properties at the nano and microscale. Particularly, nano-characterization of dielectric properties presents a great interest to understand the behaviour of these complex systems under electromagnetic radiation and to study their dynamics at the nanoscale, in bulk or in confined geometry. We present here a method to measure the dielectric properties of thin insulating films. This method is based on electrostatic force microscopy (EFM) experiments coupled with numerical simulations and provide quantitative measurements of the relative dielectric permittivity,  $\varepsilon_r$ , of complex materials in the liquid or solid state.

In typical EFM experiments, dc or ac bias voltages are applied between the tip and the sample via a conductive cantilever. EFM is generally used to measure the surface potential (Kelvin probe force atomic microscopy – KPFM) on semiconducting materials [1], and to image lo-

calized charges on surfaces [2], dielectric constant variations [3,4] and potentials [1,5]. Recently, Crider et al. [6,7] used ultra high vacuum atomic force microscopy (UHV-AFM) in order to characterize the complex dielectric permittivity ( $\varepsilon^*(\omega) = \varepsilon' - i\varepsilon''$ ) of poly(vinyl acetate) polymer (PVAc). This experiment was realized by applying an ac bias voltage of variable frequency ( $\omega$ ). From the in and quadrature phase components of the sensor signal response and using a phenomenological model, they obtained the qualitative frequency dependence of  $\varepsilon'$  and  $\varepsilon''$ . Other reported works were devoted to the determination of the modulus of the local dielectric permittivity without taking into account the possible frequency response of the material. We can mention for instance the works of Krayev et al. [3,4] related to the study of polymers blend in the form of layer of several microns thickness. The authors showed that an electric contrast could be obtained on EFM images and that such a contrast is related to the variations of  $\varepsilon_r$ . They also quantified the value of  $\varepsilon_r$  in the frame of a simple spherical capacitor model, which is valid for large thickness of the sample in comparison with the tip radius and the tip-sample distance. Moreover, dielectric constants of two reference polymers are

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required to measure a third unknown one. Finally, a different approach has been recently proposed by Fumagalli et al. [8] and Gomila et al. [9]. The authors developed the so-called “Nanoscale Capacitance Microscopy”, which is based on high-resolution measurement of capacitance-distance curves. While a sinusoidal voltage is applied between the AFM tip and the bottom electrode of the sample, the ac current is measured using a state of the art high sensitivity current amplifier. From the sample impedance, the tip-sample capacitance can be obtained according to the distance. Then, it is possible to extract the dielectric permittivity of the sample by fitting the capacitance-distance curve with an appropriate model. The authors proposed an analytical model, of which the validity was proven for film thickness lower than 100 nm [9].

A number of models describing probe-sample interactions have been proposed in the two last decades. Earlier models treated the probe surface as an equipotential with an assumed distribution of charges, such as a single point charge [10] or a uniformly charged line [11], and the probe-sample interaction was approximated as the interaction between the assumed charge distribution and its image with respect to the sample surface. Another group of models introduced geometric approximations to the probe shape and solved the probe-sample capacitance problem either by exactly solving the boundary value problem, e.g., the sphere model [12] and the hyperboloid model [13], or by introducing further approximations to the electric field between the probe and the sample [14–16]. These models provide convenient analytic expressions of the probe-sample interaction; however, more sophisticated models are demanded for studying the lateral variation of the sample surface properties (e.g., topography and trapped charges distribution) or to take into account the presence of a dielectric film of variable thickness. A second family of models, also called equivalent charge method (ECM), replaced the probe and the sample by a series of point charges and/or line charges and their image charges [17–20]. Based on this method, interactions between the probe and a conductive or dielectric sample with topographic and/or dielectric inhomogeneities [21–23] have been studied. This approach was capable of accommodating different scenarios. The third family of approaches used numerical methods such as the finite element method [24], the self-consistent integral equation method [25], and the boundary element method [26]. The main advantage of these models is their ability to take into account the exact geometry of the EFM probe, which permits comparison of different probe tip shapes.

This paper is organized as follow: we present in the next Section 2, the EFM experiments based on the detection of the electric force gradient by means of a double pass method [1,27,28]. Numerical simulations are discussed in Section 3. They were realized in the frame of ECM [17–20] and allow to extract from the EFM experiments, the  $\epsilon_r$  value of samples following an inverse approach. This method to measure the dielectric permittivity of insulating layers has been applied to SiO<sub>2</sub>, material for which

the local dielectric permittivity has been already studied in the literature [8,9], and to characterize the dielectric properties of poly(vinyl acetate) (PVAc) and polystyrene (PS) thin films at two temperatures. The experimental results are presented and discussed in Section 4.

## 2 EFM experiments

In order to determine  $\epsilon_r$  value of a thin insulating layer, we have developed a EFM method based on the measurement of the electric force gradient  $Grad_{DCF}$  between the tip and the sample holder on which the insulating layer is deposited. The force gradient is related to the cantilever-tip-sample capacitance  $C(z)$  by  $Grad_{DCF} = \frac{1}{2} \frac{\partial^2 C(z)}{\partial z^2} V_{DC}^2$ , where  $z$  is the tip-sample distance. There are two possibilities to detect the local electrostatic force gradient. The first one is to measure directly the resonance frequency shift  $\Delta f_0$  keeping the phase shift constant. The second possibility is to measure the mechanical phase shift  $\Delta\Phi$  at constant driving frequency. If we consider that the cantilever-tip-sample system can be modelled by a spring mass system, the relationships between frequency  $\Delta f_0$  or phase shifts  $\Delta\Phi$  and force gradient  $Grad_{DCF}$ , assuming  $Grad_{DCF} \ll k_c$  and  $\tan \Delta\Phi \cong \Delta\Phi$  (origin at the resonance frequency), can be written as [27]:

$$\frac{\Delta f_0}{f_0} \cong -\frac{1}{2} \frac{Grad_{DCF}}{k_c}, \quad (1)$$

$$\Delta\Phi \cong -\frac{Q}{k_c} Grad_{DCF}, \quad (2)$$

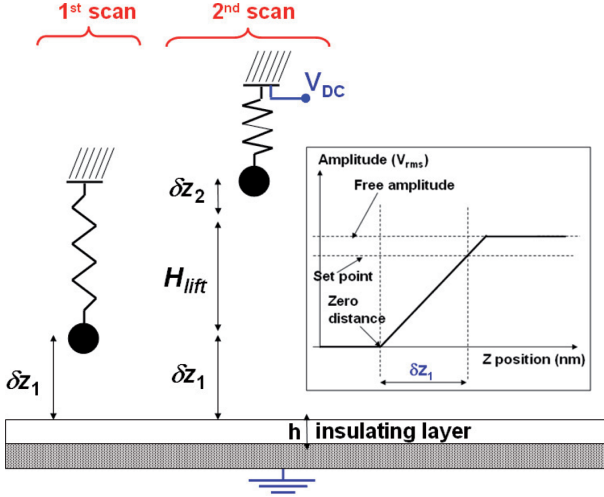
where  $k_c$  and  $Q$  are the stiffness of the cantilever and the quality factor, respectively. As expected from relations (1) and (2) the curves  $\Delta f_0(V_{DC})$  and  $\Delta\Phi(V_{DC})$  have a parabolic shape,  $-a_{\Delta f_0}(z)V_{DC}^2$  and  $-a_{\Delta\Phi}(z)V_{DC}^2$ , where  $a_{\Delta f_0}(z)$  and  $a_{\Delta\Phi}(z)$  are related to the tip-sample capacitance by the expressions:

$$a_{\Delta f_0}(z) = \frac{f_0}{4k_c} \frac{\partial^2 C(z)}{\partial z^2}, \quad (3)$$

$$a_{\Delta\Phi}(z) = \frac{Q}{2k_c} \frac{\partial^2 C(z)}{\partial z^2}. \quad (4)$$

We point out that although the force gradient can be detected either by measuring the frequency shifts or by measuring the phase shifts, relation (2) is valid only at low dc voltages (for which the approximation  $\tan \Delta\Phi \cong \Delta\Phi$  is satisfied) whereas relation (1) is always valid. For high dc voltages the measured phase shift is saturated and does not exhibit a parabolic shape any more. That is why we chose to measure the frequency shift.

Considering the tip as a cone of angle  $\theta_0$  with a spherical apex of radius  $R$  fixed to the extremity of the cantilever, the total capacitance  $C(z)$  is a sum of the apex capacitance  $C_{apex}(z)$ , i.e. the local capacitance, and the stray capacitance  $C_{stray}(z)$ , relative to the tip cone and the cantilever. In their work, Fumagalli et al. [8] have shown that the stray capacitance obeys to a linear relation,  $C_{stray}(z) = -b\Delta z$ , and does not contribute to the



**Fig. 1.** (Color online) Principle of EFM microscopy using a double pass method. During the first scan topography is acquired. The tip is then retracted by a constant height  $H_{lift}$  and amplitude is reduced by a factor 3. During the second scan, a constant potential is applied on the tip and the dc force gradient is analysed. Inset: typical amplitude-distance curve recorded on a stiff sample. The first scan amplitude  $\delta z_1$  corresponds to the difference between the  $z$ -position allowing to reach the set point amplitude and the zero distance.

second derivative of the capacitance  $\partial^2 C(z)/\partial z^2$  in the expressions (3) and (4).

The experimental protocol was developed on one single surface position on the basis of a “double pass method” [1,27,28] and the measurement of  $a_{\Delta f_0}(z)$  parabolic coefficient from the experimental curves  $\Delta f_0(V_{DC})$ . EFM experiments are made in ambient air atmosphere and at different temperatures (22 °C and 70 °C) in the amplitude-controlled mode (Tapping<sup>®</sup>). During the first scan the topography is acquired. The tip is then retracted from the surface morphology by a constant height  $H_{lift}$ , also called “lift height”, and the amplitude of the tip vibration  $\delta z$  is reduced in order to stay in the linear regime (amplitude  $\ll$  tip-sample distance). During the second scan, while a potential  $V_{DC}$  is applied to the tip (with the sample holder grounded) the electric force gradient  $Grad_{DC} F$  is detected. As shown in Figure 1, during the first scan, the average tip-sample distance  $z_1$  is approximately equal to the oscillation amplitude ( $z_1 \cong \delta z_1$ ). During the second scan, the distance is the sum of the first scan amplitude  $\delta z_1$  and the lift height  $H_{lift}$  ( $z_2 \cong \delta z_1 + H_{lift}$ ) and the cantilever oscillates with an amplitude of  $\delta z_2$ .

The EFM experiments are performed in three steps: first, in order to determine the actual value of the tip radius  $R$ , we measure  $\Delta f_0(V_{DC})$  curves at several lift height  $H_{lift}$  for a conductive sample. A parabolic fit gets the experimental coefficients  $a_{\Delta f_0}(z)$  according to the real tip-sample distance. A value of the radius  $R$  is then obtained by fitting the  $a_{\Delta f_0}(z)$  curve with expression (3) in which the tip-sample capacitance is calculated using the equivalent charge model (ECM) (see the following Section 3). Second, the experiment is performed with a thin insulat-

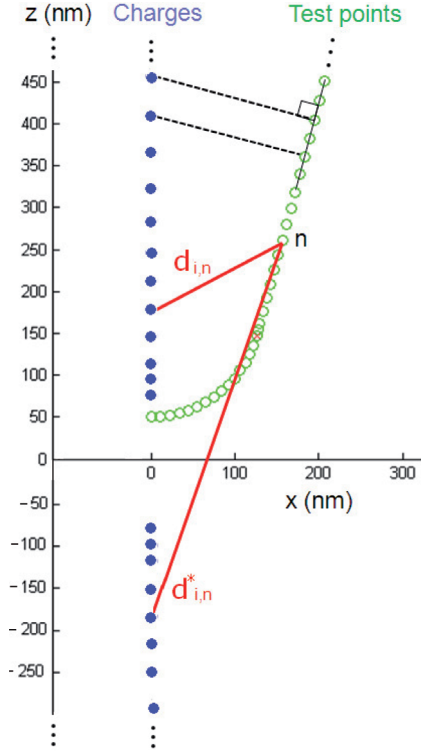
ing layer of the material under study deposited on the conductive substrate.  $\Delta f_0(V_{DC})$  curves are recorded at different lift heights  $H_{lift}$  and are analysed in order to extract experimental coefficients  $a_{\Delta f_0}(z)$  for each lift height. Once  $R$  and  $h$ , the thickness of the sample measured by AFM, are known from previous experiments, we can fit the  $a_{\Delta f_0}(z)$  curve using expression (3) in which the capacitance is calculated by ECM, and thereby we obtain the value of the dielectric permittivity  $\epsilon_r$ . Finally, in a third step, we record an oscillation amplitude-distance curve to quantify the actual values of  $\delta z_1$  and  $z_2$  in the previous force gradient experiments. One can note that the measurement of an amplitude-distance curve can damage the tip and should be realized at the end. A typical curve is shown in the inset of Figure 1; the slope of this curve gives the correspondence between the photodetector rms voltage and the real oscillation amplitude. Indeed, if there is no indentation of the tip into the sample, we can consider that amplitude is coarsely equivalent to the distance. The zero distance corresponds to the point where amplitude becomes null. The tip-sample distance is calculated as the difference between the  $z$ -position of the actuator corresponding to the amplitude set point and the  $z$ -position corresponding to the zero distance [29].

### 3 ECM numerical simulations

In this section, we show how the tip radius, the tip-sample force, force gradient and capacitance can be calculated using the equivalent charge method (ECM). The advantage of numerical simulation compared to other analytical expression is that the calculated force is exact and allows to work without any restriction about the thickness of the insulating film, the tip radius and the tip-sample distance. We will first consider the case of a tip in front of a metallic plate, and then we will deduce the force and the force gradient for a system composed by a tip in front of a dielectric layer over a metallic plate.

The case of a system composed by a tip in front of a conductive plane has been treated by Belaidi et al. [18]. The idea of ECM is to find a discrete charge distribution ( $N_C$  charge points  $q_i$  at a distance  $z_i$  on the axis  $x = 0$ ) that will create a given potential  $V$  at the tip surface. The tip geometry is represented by an half of sphere of radius  $R$  surmounted by a cone with a characteristic angle  $\theta_0 = 30^\circ$ . The conductive plane at a zero potential is created by the introduction of an electrostatic image tip with  $-q_i$  charges at a distance  $-z_i$  on the  $z$ -axis (Fig. 2). The value of the charges  $q_i$  is fixed in such way that the  $M$  potential  $V_n$ , with  $n = 1, \dots, M$ , calculated at test point  $n$  at the tip surface are equal to  $V$ . If we introduce  $D_{i,n} = 1/d_{i,n} - 1/d_{i,n}^*$  (where  $d_{i,n}$  and  $d_{i,n}^*$  are the distances between the point  $n$  and the effective and image charge  $i$ , respectively) we can express the potential  $V_n$  as:

$$V_n = \sum_i^{N_C} \frac{D_{i,n} q_i}{4\pi\epsilon_0}. \quad (5)$$



**Fig. 2.** (Color online) Representation of the charges ( $\bullet$   $z > 0$ ), image charges ( $\bullet$   $z < 0$ ), and test points ( $\circ$ ) modelling the tip. Charges simulating the apex have to be placed manually to reproduce the strong curvature of the equipotential.

- 1 The best value of  $q_i$  is obtained using the least mean
- 2 square method:

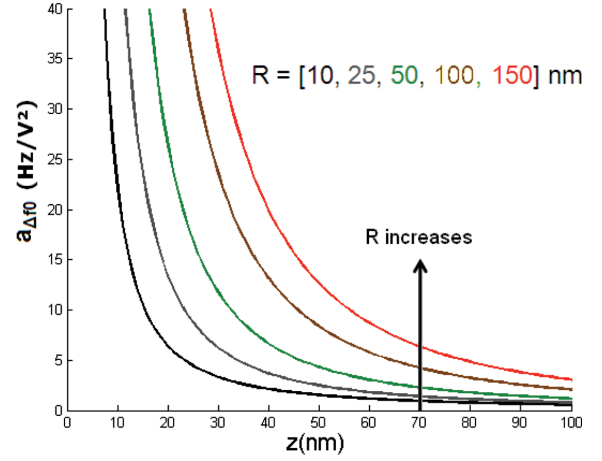
$$\frac{\partial}{\partial q_i} \sum_n (V_n - V)^2 = 0. \quad (6)$$

- 3 Expliciting the derivative of the potential, the system to
- 4 solve becomes:

$$\sum_n \left( \sum_i \frac{D_{i,n} q_i}{4\pi\epsilon_0} - V \right) \frac{D_{i,n}}{4\pi\epsilon_0} = 0. \quad (7)$$

- 5 Then, knowing the charge and image charge distributions,
- 6 the total electrostatic force acting on the tip and the
- 7 tip-sample capacitance can be calculated. The coefficient
- 8  $a_{\Delta f_0}(z)$  (or  $a_{\Delta\Phi}(z)$ ) is also obtained according to equa-
- 9 tion (3) (or Eq. (4)). As shown in Figure 3, this coefficient
- 10 is very sensitive to the tip radius ( $R$ ). Following an inverse
- 11 approach, it is possible to determine the  $R$  value from the
- 12 experimental curve  $a_{\Delta f_0}(z)$  (or  $a_{\Delta\Phi}(z)$ ).

- 13 When the system is composed by a tip in front of a
- 14 dielectric layer on a conductive substrate, simulations are
- 15 more complex. This problem has been treated by Sacha
- 16 et al. [19] introducing the Green function formalism and
- 17 also by Durand [20]. We consider one charge  $q_i$  in the air
- 18 at a distance  $z_i$  of a dielectric layer of thickness  $h$  and of
- 19 dielectric constant  $\epsilon_r$ . The insulating layer is placed over
- 20 a conductive substrate.  $V_0^i$  and  $V_1^i$  are respectively the



**Fig. 3.** (Color online) Tip radius effects on  $a_{\Delta f_0}(z)$  over a conductive plate.  $a_{\Delta f_0}(z)$  increases when the tip radius increases.

potentials created by the charge  $q_i$  in the air and in the dielectric. In order to satisfy the limit conditions ( $V_0^i = V_1^i$  and  $\epsilon_0 \frac{\partial V_0^i}{\partial z} = \epsilon_0 \epsilon_r \frac{\partial V_1^i}{\partial z}$  at the air/dielectric interface, and,  $V_1^i = 0$  at the dielectric/substrate interface), we introduce two series of image charges, one created in the conductive substrate and one in the air.

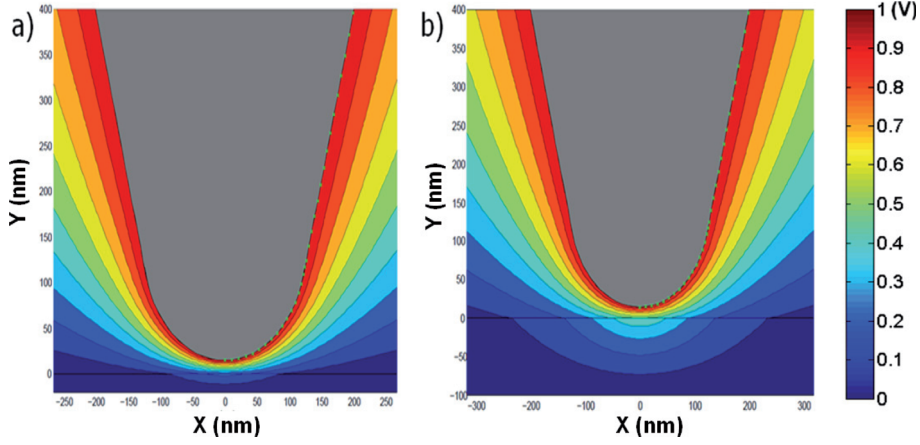
The equivalent potential calculated by ECM in the air results from the source, its image in the dielectric and the infinite series of image charges in the conductive substrate. One can introduce the “reciprocal distance”,  $D_+$ , between a point of coordinate  $(\rho, z)$  and the charge  $q_i$  (id. its image ( $D_-$ ),  $D_{\pm} = 1/\sqrt{\rho^2 + (z \mp z_i)^2}$ ), and the reciprocal distance  $A$  corresponding to the infinite series of image ( $A = \sum_{n=0}^{\infty} k^n / \sqrt{\rho^2 + (z + 2(n+1)h + z_i)^2}$ , where the constant  $k = -\frac{\epsilon_r - 1}{\epsilon_r + 1}$ ). Then, the potential  $V_0^i$  created in the air by one charge  $q_i$  is expressed as:

$$V_0^i = \frac{q_i}{4\pi\epsilon_0} (D_+ + kD_- - (1 - k^2)A). \quad (8)$$

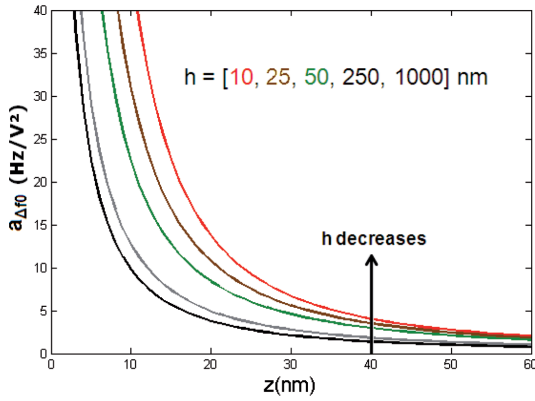
The potential  $V_1^i$  created in the dielectric is the sum of the two infinite series of images. Introducing the reciprocal distance for the images in the conductive substrate,  $B$  ( $B = \sum_{n=0}^{\infty} k^n / \sqrt{\rho^2 + (z - 2nh - z_i)^2}$ ), we obtain:

$$V_1^i = \frac{q_i}{4\pi\epsilon_0} (1 - k)(B - A). \quad (9)$$

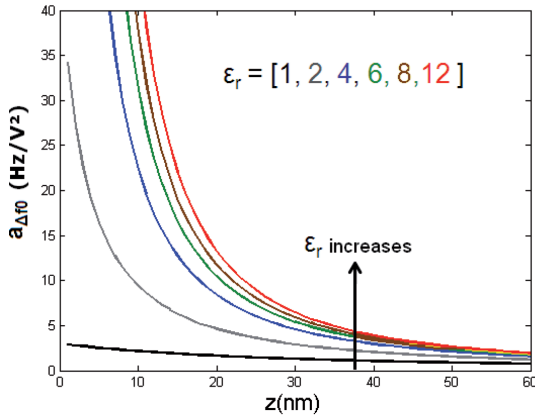
The value of each  $q_i$  is then found by solving equation (7), inserting the potential  $V_0^i$  calculated after equation (9), at each test point representing the tip surface. Knowing the charge and image charge distributions, the total electrostatic force acting on the tip and the tip-sample capacitance can be calculated. The coefficient  $a_{\Delta f_0}(z)$  (or  $a_{\Delta\Phi}(z)$ ) is obtained according to equation (3) (or Eq. (4)). In Figures 4a and 4b, we present the repartition of the equipotentials in air and in a dielectric layer ( $\epsilon_r = 4$ ) for two different thicknesses. Figures 5 and 6 show the effects of  $h$  and  $\epsilon_r$ , on the coefficient  $a_{\Delta f_0}(z)$ .



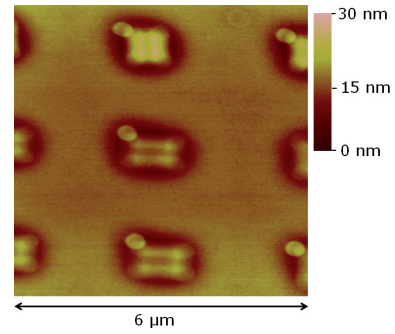
**Fig. 4.** (Color online) Potential created in the air ( $z > 0$  nm) and in the dielectric ( $z < 0$ ) by a tip ( $R = 130$  nm,  $\theta_0 = 30^\circ$ ) in front of a dielectric layer of height of (a)  $h = 20$  nm and (b)  $h = 100$  nm with a dielectric constant  $\epsilon_r = 4$ .



**Fig. 5.** (Color online) Thickness effects on  $a_{\Delta f_0}(z)$  over an insulator with a dielectric constant  $\epsilon_r = 4$ .  $a_{\Delta f_0}(z)$  increases when the thickness of the insulator decreases.



**Fig. 6.** (Color online) Relative dielectric constant effects on  $a_{\Delta f_0}(z)$  over an insulator with a thickness of 40 nm.  $a_{\Delta f_0}(z)$  increases when the dielectric constant increases.

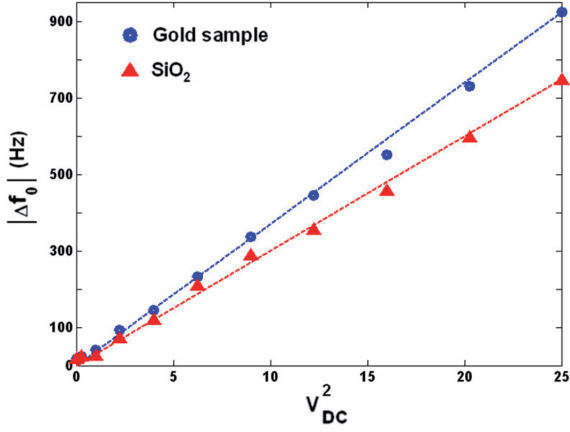


**Fig. 7.** (Color online) Topography of an insulating thin layer of  $\text{SiO}_2$  deposited on a gold substrate. The topography is measured by AFM in the amplitude-controlled mode (Tapping<sup>®</sup>).

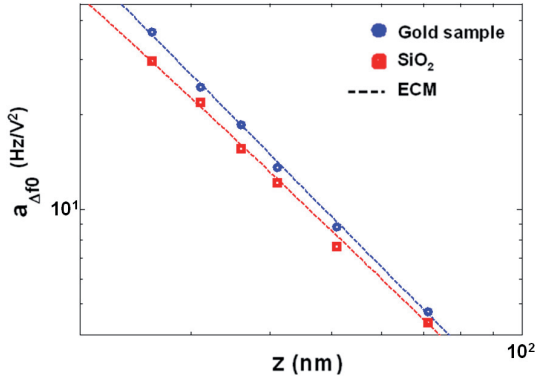
studied by Fumagalli et al. [8]. They were composed of squares of  $1 \mu\text{m}$  side deposited by focused ion beam (FIB). FIB (Strata DB235 made by FEI Company) uses a gallium ion beam for localized depositions of distinct materials. The technique allows the deposition of 3D structures and has a process control precision within a few tens of nanometers (30 nm). In practice, during the fabrication process some difficulties can be encountered. In Figure 7, we can see some oxide particles deposited very close to the  $\text{SiO}_2$  squares and the topography is not perfectly homogeneous. The best squares have been selected for our single points EFM measurements. The dark circles correspond to holes created by the beam in the gold substrate layer during the  $\text{SiO}_2$  deposition. The average thickness of the  $\text{SiO}_2$  layers measured from the bottom of the holes is approximately 12 nm. We used conductive diamond coated tips (Nanosensors<sup>TM</sup> CDT-FMR) having a free oscillating frequency  $f_0 = 103$  kHz and a stiffness  $k_c = 5.9 \text{ N m}^{-1}$ .  $k_c$  was calculated using the so-called thermal tune method [30] based on the thermal noise measurement. The experiments were realized with a Veeco Enviroscope<sup>TM</sup> equipped with a Lakeshore temperature controller. In Figure 8, we show the  $\Delta f_0(V_{DC}^2)$  curve obtained on the gold conductive sample in comparison with the curve obtained on the insulating oxide layer.

## 4 Results and discussion

We tested our method studying the dielectric constant of an insulating thin layer of  $\text{SiO}_2$  deposited on a gold substrate (Fig. 7). Our samples were similar to those



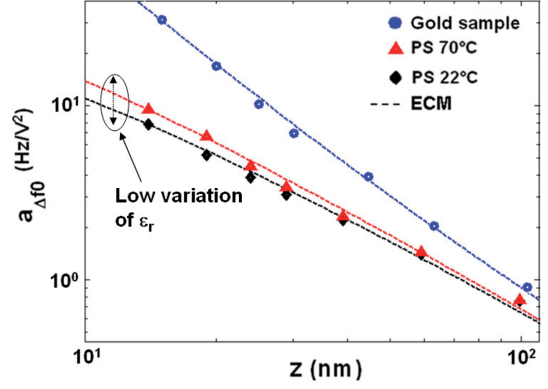
**Fig. 8.** (Color online)  $\Delta f_0(V_{DC}^2)$  curves measured on a conductive gold sample ( $\bullet$ ) and a SiO<sub>2</sub>/gold sample ( $\blacktriangle$ ) with  $h_{SiO_2} = 12$  nm. Both curves were obtained for the same tip-sample distance  $z = 31$  nm. The parabolic fit gives  $a_{\Delta f_0}(z) = 31.7$  Hz/V<sup>2</sup> for gold and  $a_{\Delta f_0}(z) = 27.8$  Hz/V<sup>2</sup> for SiO<sub>2</sub>.



**Fig. 9.** (Color online)  $a_{\Delta f_0}(z)$  curves measured on a conductive gold sample ( $\bullet$ ) and a SiO<sub>2</sub>/gold sample ( $\blacksquare$ ) with  $h_{SiO_2} = 12$  nm. The tip radius  $R = 105 \pm 4$  nm is obtained from experiments on gold using ECM. Then, by fitting the SiO<sub>2</sub> experiments, we calculated the permittivity of the SiO<sub>2</sub> insulating layer:  $\epsilon_r = 4.5 \pm 1.1$ .

1 Both curves were acquired at the same tip-sample distance  
 2 distance  $z = 31$  nm. We observe that the slope of the curve  
 3 in presence of the oxide layer increases substantially what  
 4 is revealing a reduction of the local capacitance in accordance  
 5 with equation (3). By fitting these curves using a  
 6 parabolic function, we obtained  $a_{\Delta f_0} = 31.7$  Hz/V<sup>2</sup> for  
 7 gold and  $a_{\Delta f_0} = 27.8$  Hz/V<sup>2</sup> for SiO<sub>2</sub>.

8 In Figure 9, we present the parabolic coefficients  $a_{\Delta f_0}$   
 9 as a function of the real tip-sample distance obtained on  
 10 gold and SiO<sub>2</sub>. The fit on gold gives the actual value of tip  
 11 radius,  $R = 105 \pm 4$  nm in this case. This value is in good  
 12 agreement with typical values given by the manufacturer.  
 13 Then, we calculated the value of the dielectric permittivity  
 14 of the insulating layer by fitting the points obtained on  
 15 SiO<sub>2</sub>. We found  $\epsilon_r = 4.5 \pm 1.1$  which is in agreement with  
 16 the value obtained by Fumagalli et al. [8] on the same  
 17 type of sample. The best-fitting curves were obtained by  
 18 the least squares method and the final uncertainties were

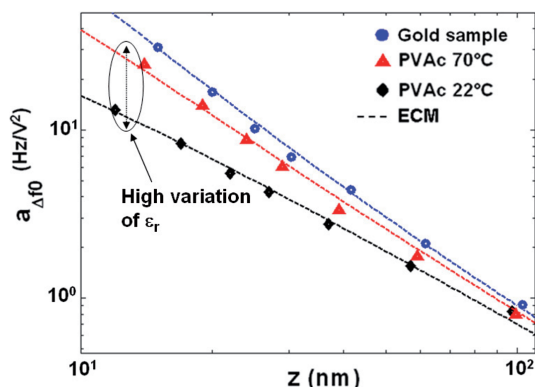


**Fig. 10.** (Color online)  $a_{\Delta f_0}(z)$  curves obtained on a  $50 \pm 2$  nm PS thin film at 22 °C ( $\blacklozenge$ ) and 70 °C ( $\blacktriangle$ ) in comparison with the curve obtained on a gold sample ( $\bullet$ ). The tip radius  $R = 32 \pm 2$  nm is obtained from experiments on gold using ECM. Fitting PS parabolic coefficients using ECM, we obtained  $\epsilon_r = 2.2 \pm 0.2$  at 22 °C, and  $\epsilon_r = 2.6 \pm 0.3$  at 70 °C.

calculated including uncertainties of all others parameters  
 involved in the calculations.

The second serie of experiments was performed on two  
 ultra-thin polymer films. PS ( $M_w = 70\,950$  g/mol) and  
 PVAc ( $M_w = 83\,000$  g/mol) were chosen because both  
 the dielectric strength and its temperature dependence  
 are very different for these two polymers. Additionally,  
 the dielectric responses of both polymers have been  
 previously well characterized in the literature [31–35].  
 Samples were prepared by spin coating starting from  
 solutions at 1% ( $w/w$ ) in toluene. The substrate was  
 composed of a fine gold layer deposited on a glass  
 plate. The small percentage of polymer in solution  
 was selected in order to obtain films with a thickness  
 of about 50 nm according to reference [36]. We used  
 in this case standard EFM cantilevers (Nanosensors  
 EFM) having a free oscillating frequency  $f_0 = 71.42$   
 kHz and a stiffness  $k_c = 4.4$  N m<sup>-1</sup>. The experiments  
 were performed on neat PS and PVAc films at room  
 temperature and at 70 °C (Figs. 10 and 11). The  
 measured thicknesses of the films were  $50 \pm 2$  nm  
 for PS and  $50 \pm 3$  nm for PVAc at both room  
 temperature and 70 °C. The accuracy of our  
 measurements does not allow detecting any thermal  
 expansion.

The experimental parabolic coefficients  $a_{\Delta f_0}(z)$   
 obtained for PS are shown in Figure 10. Measurements  
 at room temperature and at 70 °C are very close  
 indicating a weak temperature dependence of the  
 dielectric permittivity as expected for this polymer.  
 In addition, there is a big difference between the  
 curve obtained on gold and those obtained on PS.  
 That means that the permittivity of the polymer is  
 rather low. Using the same protocol we obtained the  
 value of the tip radius  $R = 32 \pm 2$  nm and the  
 dielectric permittivity of PS at 22 °C and 70 °C:  
 $\epsilon_r(22 \text{ °C}) = 2.2 \pm 0.2$  and  $\epsilon_r(70 \text{ °C}) = 2.6 \pm 0.3$ .  
 The experimental parabolic coefficients obtained for  
 PVAc are shown in Figure 11. We can note a  
 significant difference between measurements realized  
 at room temperature and at 70 °C, i.e. below and  
 above the glass transition temperature,  $T_g$ . At 70  
 °C, the PVAc curve approaches the



**Fig. 11.** (Color online)  $a_{\Delta f_0}(z)$  curves obtained on a  $50 \pm 3$  nm PVAc thin film at  $22$  °C ( $\blacklozenge$ ) and  $70$  °C ( $\blacktriangle$ ) in comparison with the curve obtained on a gold sample ( $\bullet$ ). The tip radius  $R = 32 \pm 2$  nm is obtained from experiments on gold using ECM. Fitting PVAc parabolic coefficients using ECM, we obtained  $\epsilon_r = 2.9 \pm 0.3$  at  $22$  °C and  $\epsilon_r = 8.2 \pm 1.0$  at  $70$  °C.

1 gold curve indicating an important increase of  $\epsilon_r$ . By ap-  
 2 plying ECM, we obtained  $\epsilon_r(22$  °C) =  $2.9 \pm 0.3$  and  
 3  $\epsilon_r(70$  °C) =  $8.2 \pm 1.0$  for PVAc. The estimated values  
 4 for PS and PVAc are in good agreement with the macro-  
 5 scopic ones [31–35]. The variation observed in the dielec-  
 6 tric permittivity of PVAc is related with its strong dipole  
 7 moment and the fact that PVAc crossed the glass tran-  
 8 sition temperature at around  $38$  °C increasing the chain  
 9 mobility and therefore the dielectric permittivity. Oppo-  
 10 site, PS has a weak dipole moment and its  $T_g$  is around  
 11  $105$  °C; therefore, a little or negligible variation of the  
 12 dielectric permittivity is expected in this case.

13 We discuss now about performances and limitations  
 14 of the technique. The theoretical lateral resolution, cal-  
 15 culated on the basis of the tip-sample electrostatic in-  
 16 teraction [37,38], is given by:  $\Delta x = (Rz)^{1/2}$ . Concern-  
 17 ing the experiments reported in this paper, if we consider  
 18 a mean tip-sample distance  $z = 20$  nm,  $\Delta x \cong 45$  nm  
 19 in the case of the  $\text{SiO}_2$  sample layer ( $R \cong 100$  nm),  
 20 and  $\Delta x \cong 25$  nm in the case of the polymer thin films  
 21 ( $R \cong 30$  nm). The reached resolutions should be thus  
 22 good enough to investigate locally the dielectric permit-  
 23 tivity of certain nano-structured polymer blends. We fo-  
 24 cus now on the sensitivity of the technique which can be  
 25 defined as  $\partial a_{\Delta f_0} / \partial \epsilon_r$ . This quantity can be calculated us-  
 26 ing ECM and if we analyze the case of the second se-  
 27 rie of experiment ( $R \cong 30$  nm and  $z \cong 20$  nm) we ob-  
 28 tain, for example,  $\partial a_{\Delta f_0}(\epsilon_r = 2) / \partial \epsilon_r = 2.6$  Hz/V<sup>2</sup> and  
 29  $\partial a_{\Delta f_0}(\epsilon_r = 10) / \partial \epsilon_r = 0.4$  Hz/V<sup>2</sup>. The sensitivity clearly  
 30 decreases when the dielectric permittivity increases. This  
 31 point can be a limiting factor for the study of high-  
 32 dielectric permittivity materials ( $\epsilon_r > 10$ ) but not for the  
 33 study of polymers, for which  $2 < \epsilon_r < 10$ .

## 34 5 Conclusions

35 We have demonstrated that electrostatic force microscopy  
 36 (EFM) is a powerful tool to determine quantitatively the

dielectric permittivity of an insulating layer. We have de-  
 37 tailed an experimental protocol, which consists essentially  
 38 on determining successively the tip-sample capacitance in  
 39 the absence and in presence of the sample layer. A quan-  
 40 tification of the dielectric permittivity without any ex-  
 41 perimental restriction has been possible thanks to numer-  
 42 ical simulations based on the equivalent charge method  
 43 (ECM). We believe that numerous applications may po-  
 44 tentially be done in a wide range of disciplines. As an ex-  
 45 ample, we showed results on silicon dioxide but also on two  
 46 different types of polymers (polystyrene and poly(vinyl  
 47 acetate)) at different temperature. In perspective, this  
 48 method could be used to characterize and image the local  
 49 dielectric properties of polymer blends and nanocompos-  
 50 ites and study at the nanoscale their molecular dynamics  
 51 in confined or bulk geometry.  
 52

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